

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): John G. Apostolopoulos et al

Confirmation No.: 2887

Application No.: 09/898572

Examiner:

Filing Date: Jul 03, 2001

Group Art Unit:

Title: Method For Handing Off Streaming Media Sessions Between Wireless Base Stations In A Mobile Streaming Media System

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ( ) under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- (X) under 37 CFR 1.97 (c) together with either a:  
(X) Statement under 37 CFR 1.97(e), or  
( ) a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ( ) under 37 CFR 1.97 (d) together with a:  
( ) Statement under 37 CFR 1.97(e), and  
( ) a petition under 37 CFR 1.97(d)(2), and  
( ) a \$180.00 petition fee set forth in 37 CFR 1.17(p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

(X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

( ) A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

- (X) I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231. Date of Deposit: 01/13/2003  
OR

- ( ) I hereby certify that this paper is being transmitted to the Patent and Trademark Office facsimile number \_\_\_\_\_ on \_\_\_\_\_  
Number of pages: \_\_\_\_\_

Typed Name: Ann Jesus

Signature: [Signature]

Respectfully submitted,

John G. Apostolopoulos et al

By [Signature]

Denise A Lee

Attorney/Agent for Applicant(s)

Reg. No. 35,931

Date: 01/13/2003

Telephone No.: (650) 236-4868



IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): John G. Apostolopoulos et al

Confirmation No.: 2887

Application No.: 09/898572

Examiner:

Filing Date: Jul 03, 2001

Group Art Unit:

Title: Method For Handing Off Streaming Media Sessions Between Wireless Base Stations In A Mobile Streaming Media System

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

STATEMENT UNDER 37 CFR 1.97(e)

Sir:

RECEIVED  
JAN 22 2003  
Technology Center 2100

The undersigned hereby certifies that either:

- (X) Each item of information contained in the Information Disclosure Statement was cited for the first time in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement, or
- ( ) No item of information contained in the Information Disclosure Statement
- was cited in a communication from a foreign patent office in a counterpart foreign application, and
  - to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56 (c) more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

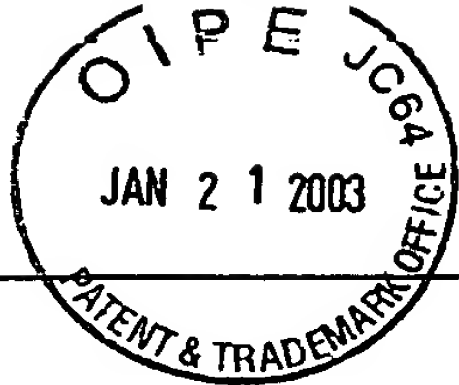
John G. Apostolopoulos et al

By Denise A. Lee  
Denise A Lee

Attorney/Agent for Applicant(s)  
Reg. No. 35,931

Date: 01/13/2003

Telephone No.: (650) 236-4868



## PATENT APPLICATION

Sheet 1 of 1

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10012166-1

APPLICATION NO.

09/898,572

CONFIRMATION NO.

2887

APPLICANT

John G Apostolopoulos et al

FILING DATE

07/03/2001

GROUP

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER | PUBLICATION<br>DATE | NAME             | Pages, Columns, Lines Where<br>Relevant Passages or Figures Appear |
|---------------------|----|--------------------|---------------------|------------------|--|
|                     | 1A | US6,018,662        | 01/25/2000          | Periyalwar et al |  |
|                     | 1B | US6,253,185        | 06/26/2001          | Arean et al      |  |
|                     | 1C |                    |                     |                  |  |
|                     | 1D |                    |                     |                  |  |
|                     | 1E |                    |                     |                  |  |
|                     | 1F |                    |                     |                  |  |
|                     | 1G |                    |                     |                  |  |
|                     | 1H |                    |                     |                  |  |
|                     | 1I |                    |                     |                  |  |
|                     | 1J |                    |                     |                  |  |
|                     | 1K |                    |                     |                  |  |

RECEIVED  
JAN 22 2003  
Technology Center 2100

## FOREIGN PATENT DOCUMENTS

|  |    | DOCUMENT<br>NUMBER | PUBLICATION<br>DATE | NAME OF PATENTEE<br>OR APPLICANT | Pages/Columns/Lines Where<br>Relevant Passages/Figures Appear | Check if<br>Translation<br>attached |
|--|----|--------------------|---------------------|----------------------------------|---|-------------------------------------|
|  | 1L | EP0915598          | 05/12/1999          | Matsushita Electric              |   |                                     |
|  | 1M | EP0856956          | 08/05/1998          | AT&T Corp                        |   |                                     |
|  | 1N |                    |                     |                                  |   |                                     |
|  | 1O |                    |                     |                                  |   |                                     |
|  | 1P |                    |                     |                                  |   |                                     |

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

|  |    |  |
|--|----|--|
|  | 1Q | Shih-Ming Yang et al - "Low-Delay Communication for Rayleigh Fading Channels: An Application of the Multiple Description Quantizer" - IEEE Transactions on Communications Vol 43 No 11- November 1, 1995 - pages 2771-2783 |
|  | 1R |  |
|  | 1S |  |

EXAMINER

DATE CONSIDERED